

508 Rec'd PCT/PTO 07 JUL 2000

09/508713

PATENT

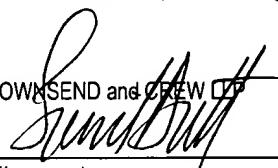
Attorney Docket No. 084527-000000US

EXPRESS MAIL NO. EL624023489US

DATE OF DEPOSIT: July 7, 2000

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Attn: Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231.

TOWNSEND and TOWNSEND and CREW LLP

By 
Sunil Dutt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. National Phase of:
PCT/AU98/00769 of:

Glenn Norman Dickins, et al.

Application No.: 09/508,713

Filed: Date not yet Assigned

For: UTILIZATION OF FILTERING
EFFECTS IN STEREO HEADPHONE
DEVICES TO ENHANCE
SPATIALIZATION OF SOURCE
AROUND A LISTENER

Examiner: Unassigned

Art Unit: Unassigned

TRANSMITTAL LETTER –
RESPONSE TO NOTIFICATION OF
MISSING REQUIREMENTS UNDER
35 U.S.C. 371

Attn: Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated May 9, 2000, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration and Power of Attorney
- 2) Petition to Extend Time
- 3) Copy of Notification of Missing Requirements Under 35 U.S.C. 371

Please charge Deposit Account No. 20-1430 for the following fees:

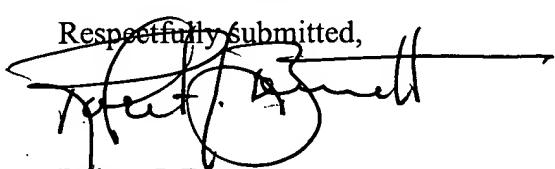
(a) Missing Parts Surcharge \$130.00

TOTAL FEES TO BE CHARGED \$130.00

Please note that the address of one of the inventors, Richard James Cartwright, has changed since the preparation of the Declaration. That address is now 35 Alexander Street, Alexandria, NSW 2015, Australia. A Declaration attesting to this change of address will be executed by said inventor and filed to that effect.

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,



Robert J. Bennett
Reg. No. 27,533

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RJB/sb

rev. 4/00
SF 150886 v1
SF 1112860 v1

084527-0000001



RJB

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/508713	DICKINS	G 084527-00000
ROBERT J BENNETT TOWENSEND AND TOWENSEND AND CREW TWO EMBARCADERO CENTER 8TH FLOOR SAN FRANCISCO, CA 94111		INTERNATIONAL APPLICATION NO.
		PCT/AU98/00769
		I.A. FILING DATE
		16 SEP 98
		PRIORITY DATE
		16 SEP 97
DATE MAILED:		09 MAY 2000

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as
 a Designated Office (37 CFR 1.494),
 an Elected Office (37 CFR 1.495):

U.S. Basic National Fee.
 Copy of the international application in:
 a non-English language.
 English.
 Translation of the international application into English.
 Oath or Declaration of inventors(s) for DO/EO/US.
 Copy of Article 19 amendments.
 Translation of Article 19 amendments into English.
 The International Preliminary Examination Report in English and its Annexes, if any.
 Translation of Annexes to the International Preliminary Examination Report into English.
 Preliminary amendment(s) filed 15 MAR 2000 and _____
 Information Disclosure Statement(s) filed 15 MAR 2000 and _____
 Assignment document.
 Power of Attorney and/or Change of Address.
 Substitute specification filed _____
 Verified Statement Claiming Small Entity Status.
 Priority Document.
 Copy of the International Search Report and copies of the references cited therein.
 Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
 b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
 c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
 The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
 d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY 21 OR 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR. 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed:

PCT/DO/EO/917
 PTO-875

Notice of Defective Translation

Winston M Alvarado
 Telephone: 703-305-6421